

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Edward Raymond Dowski, et al.	Examiner	Chawan, Sheela C.
Serial No.	10/813,993	Group Art No.	2624
Filed	March 31, 2004	Confirmation No.	6078
For	Systems And Methods For Minimizing Aberrating Effects In Imaging Systems		

August 10, 2007

Commissioner for Patents
P.O Box 1450
Alexandria, VA 22313-1450

DEFICIENCY PAYMENT TO CORRECT ERROR IN SMALL ENTITY STATUS

Pursuant to 37 CFR 1.28(c)(1) and (2) an itemization and payment of deficiency owed are hereby submitted. The undersigned attorney requests under § 1.28(d) that the deficiency payment be treated as a notification under § 1.27(g)(2) of the loss of entitlement to small entity status.

Fee Description	Date	Fee Paid	Corrected Fee Amount	Deficiency Due
Filing Fee	3/31/2004	\$385	\$300	\$(85)
Search Fee			\$500	\$500
Exam Fee			\$200	\$200
Claim in excess of 20	3/31/2004	\$126	\$700	\$574
Independent claims in excess of 3	3/31/2004	\$215	\$1000	\$785
Extra claims (7)	11/23/2004	\$63	\$350	\$287
Extra Independent claims (2)	11/23/2004	\$88	\$400	\$312
Issue and Publication Fee	08/18/2006	\$1000	\$1700	\$700
Total Deficiency Payment Owed:				\$3273

Applicants hereby authorize the above-referenced \$3273 fee be charged to Deposit Account No. 12-0600. Applicant believes no further fees are due, however, if any fee is deemed necessary in connection with this filing, please charge Deposit Account No. 12-0600.

01 FC:1599 3273.00 DA

Date: 10 Aug 2007

Respectfully submitted,

By: John Lindemann
John Lindemann, Reg. No. 54,273
Lathrop & Gage L.C.
4845 Pearl East Circle, #300
Boulder, CO 80301
Telephone: (720) 931-3018
Facsimile: (720) 931-3001

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NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

(37 CFR §§ 1.27(g)(2) and 1.28(c))

Applicant hereby notifies the Patent And Trademark Office that small entity status is not appropriate for this patent, and that the claim for small entity status as set forth in the original filing of the application for U.S. Patent is hereby withdrawn.

Applicant submits herein a deficiency transmittal and payment of \$3273 as required under 37 CFR 1.27(g)(2). With this submission, Applicant has complied with all of the requirements for excusal of error in small entity status under 37 CFR 1.28(c). Applicant therefore requests that the error in small entity status be excused, and further requests acceptance of the deficiency payment.

Applicant believes no further fees are due, however, if any fee is deemed necessary in connection with this filing, please charge Deposit Account No. 12-0600.

Respectfully submitted,

Date: 10 Aug 2007

By: John Lindemann
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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2007☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

3273

Complete if Known

Application Number	10/813,993
Filing Date	March 31, 2004
First Named Inventor	Edward Raymond Dowski
Examiner Name	Chawan Sheela, C
Art Unit	2624
Attorney Docket No.	420229

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 12-0600 Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
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50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)


Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Deficiency Payment

3273

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 54,273	Telephone 720-931-3018
Name (Print/Type)	John Lindemann	Date August 10, 2007	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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